

021904

1659 U.S. PTO

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

0389-P02381US1

First Named Inventor

LEADLAY, Peter F.

Title

POLYKETIDES AND THEIR SYNTHESIS

Express Mail Label No.

EV374761682US

Date Mailed: February 19, 2004

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Commissioner for Patents
Mail Stop Patent Application
P.O. Box 1450
Alexandria, VA 22313-1450

1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27	8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
3. <input checked="" type="checkbox"/> Specification (Total Pages <u>65</u>) (preferred arrangement set forth below)	a. <input type="checkbox"/> Computer Readable Form (CRF)
- Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R&D - Reference to sequence listing, a table or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	b. <input type="checkbox"/> Specification Sequence Listing on <input type="checkbox"/> CD-ROM or CD-R (2 copies); or <input type="checkbox"/> paper
4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) (Total Sheets <u>12</u>)	c. <input type="checkbox"/> Statement verifying identity of above copies
5. <input checked="" type="checkbox"/> Oath or Declaration (Total Pages <u>2</u>)	
a. <input type="checkbox"/> Newly executed (original or copy)	
b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)	
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed Statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	
6. <input type="checkbox"/> Application Data Sheet See 37 CFR 1.76	

ACCOMPANYING APPLICATION PARTS

9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee)	<input type="checkbox"/> Power of Attorney
11. <input type="checkbox"/> English Translation Document (if applicable)	
12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449	<input type="checkbox"/> Copies of Citations
13. <input checked="" type="checkbox"/> Preliminary Amendment	
14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)	
16. <input type="checkbox"/> Request and certification for non-publication under 35 U.S.C. 122	
17. <input type="checkbox"/> Other:	

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP)
 Prior application information: Examiner Elli Peseev

 of prior application No.: 09/720,841
 Group Art Unit: 1623

b. Priority Applications

In addition to any applications listed in 18a, the present application also claims priority to the following application(s), each of which is hereby incorporated herein by reference.

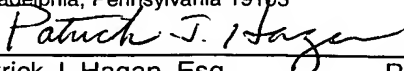
PCT/GB99/02042 filed June 29, 1999 and GB 9814006.4 filed June 29, 1998

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

CORRESPONDENCE ADDRESS

Please address all correspondence to Customer Number 000110 to the attention of the individual identified below.

 Patrick J. Hagan, Esq.
 Dann, Dorfman, Herrell and Skillman
 1601 Market Street, Suite 2400
 Philadelphia, Pennsylvania 19103



Patrick J. Hagan, Esq. Phone (215) 563-4100

P.T.O. Reg. No. 27,643

Fax (215) 563-4044

 19 February 2004
 Date

FEE TRANSMITTAL

Complete if known

Application Number **not yet assigned**

Filing Date **February 19, 2004**

First Named Inventor **LEADLAY, Peter F.**

Group Art Unit

Examiner Name

TOT. AMT. OF PAYMENT: (1)+(2)+(3) = \$ **770.00**

Attorney Docket Number **0380-P02381US1**

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to:

- ☐ Charge indicated fees
☒ Charge additional fees
☒ Credit overpayments

to the account of DANN, DORFMAN, HERRELL AND SKILLMAN

Deposit Account Number 04-1406

2. Payment enclosed:

Checks in the amount of \$ 770.00
 Check Nos. _____

FEE CALCULATION

1. FILING FEE

Fee Description	Fee Paid
Utility filing fee	\$ <u>770.00</u>
Design filing fee	_____
Plant filing fee	_____
Reissue filing fee	_____
Provisional filing fee	_____
SUBTOTAL (1)	\$ <u>770.00</u>

2. CLAIMS

Extra Fee Fee Paid

Total Claims Presented 10 - 20 = 0 x 18.00 = 0
 (a)

Independent Claims Presented 2 - 3 = 0 x 86.00 = 0
 (b)

Multiple Dependent Claim (first presentation) _____ = _____

(a) Enter 20 or number previously paid for

(b) Enter 3 or number previously paid for

SUBTOTAL (2) \$ 0

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Description	Fee Paid
Surcharge-late filing fee or oath	_____
Surcharge - late provisional filing fee or cover sheet	_____
Non-English specification	_____
For filing a request for reexamination	_____
Requesting publication of SIR prior to Examiner action	_____
Requesting publication of SIR after Examiner action	_____
Extension for response within first month	_____
Extension for response within second month	_____
Extension for response within third month	_____
Extension for response within fourth month	_____
Notice of Appeal	_____
Filing a brief in support of an appeal	_____
Request for oral hearing	_____
Petition to institute a public use proceeding	_____
Petition to revive unavoidably abandoned application	_____
Petition to revive unintentionally abandoned application	_____
Issue fee	_____
Petitions to the Commissioner	_____
Petitions related to provisional applications	_____
Submission of Information Disclosure Stmt.	_____
Recording each patent assignment per property (times number of properties)	_____
Filing a submission after final rejection (37 CFR 1.129(a))	_____
For each additional invention to be examined (37 CFR 1.129(b))	_____
Other fee (specify) _____	_____
Other fee (specify) _____	_____

SUBTOTAL (3) \$ _____

Submitted By:

Typed or

Printed Name Patrick J. Hagan, Esq

Signature Patrick J. Hagan

Reg. Number 27,643

Date February 19, 2004

Deposit Account User ID
04-1406